

# Tiling and spectral properties of near-cubic domains

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## 1 Introduction

Let  $E$  be a measurable set in  $\mathbb{R}^n$  such that  $0 < |E| < \infty$ . We will say that  $E$  *tiles*  $\mathbb{R}^n$  *by translations* if there is a discrete set  $T \subset \mathbb{R}^n$  such that, up to sets of measure 0, the sets  $E + t : t \in T$  are mutually disjoint and  $\bigcup_{t \in T} (E + t) = \mathbb{R}^n$ . We call any such  $T$  a *translation set* for  $E$ , and write  $E + T = \mathbb{R}^n$ . A tiling  $E + T = \mathbb{R}^n$  is called *periodic* if it admits a period lattice of rank  $n$ ; it is a *lattice tiling* if  $T$  itself is a lattice. Here and below, a *lattice* in  $\mathbb{R}^n$  will always be a set of the form  $T\mathbb{Z}^n$ , where  $T$  is a linear transformation of rank  $n$ .

It is known [19], [18] that if a convex set  $E$  tiles  $\mathbb{R}^n$  by translations, it also admits a lattice tiling. A natural question is whether a similar result holds if  $E$  is “sufficiently close” to being convex, e.g. if it is close enough (in an appropriate sense) to a  $n$ -dimensional cube. In this paper we prove that this is indeed so in dimensions 1 and 2; we also construct a counterexample in dimensions  $n \geq 3$ .

A major unresolved problem in the mathematical theory of tilings is the *periodic tiling conjecture*, which asserts that any  $E$  which tiles  $\mathbb{R}^n$  by translations must also admit a periodic tiling. (See [3] for an overview of this and other related questions.) The conjecture has been proved for all bounded measurable subsets of  $\mathbb{R}$  [16], [12] and for topological discs in  $\mathbb{R}^2$  [2], [8]. Our Theorem 2 and Corollary 1 prove the conjecture for near-square domains in  $\mathbb{R}^2$ . We emphasize that no assumptions on the topology of  $E$  are needed; in particular,  $E$  is not required to be connected and may have infinitely many connected components.

Our work was also motivated in part by a conjecture of Fuglede [1]. We call a set  $E$  *spectral* if there is a discrete set  $\Lambda \subset \mathbb{R}^n$ , which we call a *spectrum* for  $E$ , such that  $\{e^{2\pi i \lambda \cdot x} : \lambda \in \Lambda\}$  is an orthogonal basis for  $L^2(E)$ . Fuglede conjectured that  $E$  is spectral if and only if it tiles  $\mathbb{R}^n$  by translations, and proved it under the assumption that either the translation set  $T$  or the spectrum  $\Lambda$  is a lattice. This problem was addressed in many recent papers (see e.g. [4], [7], [10], [13], [14], [15], [16], [17]), and in particular the conjecture has been proved for convex regions in  $\mathbb{R}^2$  [9], [5], [6].

It follows from our Theorem 1 and from Fuglede’s theorem that the conjecture is true for  $E \subset \mathbb{R}$  such that  $E$  is contained in an interval of length strictly less than  $3|E|/2$ . (This was proved in [15] in the special case when  $E$  is a union of finitely many intervals of equal length.) In dimension 2, we obtain the “tiling  $\Rightarrow$  spectrum” part of the conjecture for near-square domains. Namely, if  $E \subset \mathbb{R}^2$  tiles  $\mathbb{R}^2$  and satisfies the assumptions of Theorem 2 or Corollary 1, it also admits a lattice tiling, hence it is a spectral set by Fuglede’s theorem on the lattice case of his conjecture. We do not know how to prove the converse implication.

Our main results are the following.

**Theorem 1** *Suppose  $E \subseteq [0, L]$  is measurable with measure 1 and  $L = 3/2 - \epsilon$  for some  $\epsilon > 0$ . Let  $\Lambda \subset \mathbb{R}$  be a discrete set containing 0. Then*

- (a) *if  $E + \Lambda = \mathbb{R}$  is a tiling, it follows that  $\Lambda = \mathbb{Z}$ .*  
(b) *if  $\Lambda$  is a spectrum of  $E$ , it follows that  $\Lambda = \mathbb{Z}$ .*

The upper bound  $L < 3/2$  in Theorem 1 is optimal: the set  $[0, 1/2] \cup [1, 3/2]$  is contained in an interval of length  $3/2$ , tiles  $\mathbb{Z}$  with the translation set  $\{0, 1/2\} + 2\mathbb{Z}$ , and has the spectrum  $\{0, 1/2\} + 2\mathbb{Z}$ , but does not have either a lattice translation set or a lattice spectrum. This example has been known to many authors; an explicit calculation of the spectrum is given e.g. in [14].

**Theorem 2** *Let  $E \subset \mathbb{R}^2$  be a measurable set such that  $[0, 1]^2 \subset E \subset [-\epsilon, 1 + \epsilon]^2$  for  $\epsilon > 0$  small enough. Assume that  $E$  tiles  $\mathbb{R}^2$  by translations. Then  $E$  also admits a tiling with a lattice  $\Lambda \subset \mathbb{R}^2$  as the translation set.*

Our proof works for  $\epsilon < 1/33$ ; we do not know what is the optimal upper bound for  $\epsilon$ .

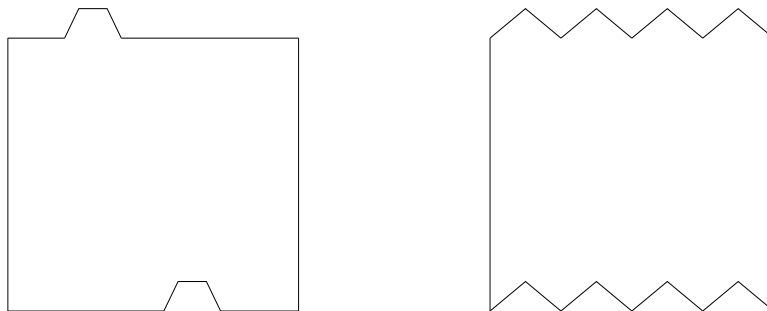


Figure 1: Examples of near-square regions which tile  $\mathbb{R}^2$ . Note that the second region also admits aperiodic (hence non-lattice) tilings.

**Corollary 1** *Let  $E \subset \mathbb{R}^2$  be a measurable set such that  $|E| = 1$  and  $E$  is contained in a square of sidelength  $1 + \epsilon$  for  $\epsilon > 0$  small enough. If  $E$  tiles  $\mathbb{R}^2$  by translations, then it also admits a lattice tiling.*

**Theorem 3** *Let  $n \geq 3$ . Then for any  $\epsilon > 0$  there is a set  $E \subset \mathbb{R}^n$  with  $[0, 1]^n \subset E \subset [-\epsilon, 1 + \epsilon]^n$  such that  $E$  tiles  $\mathbb{R}^n$  by translations, but does not admit a lattice tiling.*

## 2 The one-dimensional case

In this section we prove Theorem 1. We shall need the following crucial lemma.

**Lemma 1** *Suppose that  $E \subseteq [0, L]$  is measurable with measure 1 and that  $L = 3/2 - \epsilon$  for some  $\epsilon > 0$ . Then*

$$|E \cap (E + x)| > 0 \quad \text{whenever } 0 \leq x < 1. \quad (1)$$

**Proof of Lemma 1.** We distinguish the cases (i)  $0 \leq x \leq 1/2$ , (ii)  $1/2 < x \leq 3/4$  and (iii)  $3/4 < x < 1$ .

(i)  $0 \leq x \leq 1/2$

This is the easy case as  $E \cup (E + x) \subseteq [0, L + 1/2] = [0, 2 - \epsilon]$ . Since this interval has length less than 2, the sets  $E$  and  $E + x$  must intersect in positive measure.

(ii)  $1/2 < x \leq 3/4$

Let  $x = 1/2 + \alpha$ ,  $0 < \alpha \leq 1/4$ . Suppose that  $|E \cap (E + x)| = 0$ . Then  $1 + 2\alpha \leq 3/2$  and

$$|(E \cap [0, x]) \cup (E \cap [x, 2x])| \leq x,$$

as the second set does not intersect the first when shifted back by  $x$ . This implies that

$$|E| \leq x + (3/2 - \epsilon - 2x) = 3/2 - \epsilon - x = 1 - \epsilon - \alpha < 1,$$

a contradiction as  $|E| = 1$ .

(iii)  $3/4 \leq x < 1$

Let  $x = 3/4 + \alpha$ ,  $0 < \alpha < 1/4$ . Suppose that  $|E \cap (E + x)| = 0$ . Then

$$|(E \cap [0, 3/4 - \alpha - \epsilon]) \cup (E \cap [3/4 + \alpha, 3/2 - \epsilon])| \leq 3/4 - \alpha - \epsilon,$$

for the second set translated to the left by  $x$  does not intersect the first. This implies that

$$|E| \leq (3/4 - \alpha - \epsilon) + 2\alpha + \epsilon = 3/4 + \alpha < 1,$$

a contradiction.

□

We need to introduce some terminology. If  $f$  is a nonnegative integrable function on  $\mathbb{R}^d$  and  $\Lambda$  is a subset of  $\mathbb{R}^d$ , we say that  $f + \Lambda$  is a packing if, almost everywhere,

$$\sum_{\lambda \in \Lambda} f(x - \lambda) \leq 1. \quad (2)$$

We say that  $f + \Lambda$  is a tiling if equality holds almost everywhere. When  $f = \chi_E$  is the indicator function of a measurable set, this definition coincides with the classical geometric notions of packing and tiling.

We shall need the following theorem from [10].

**Theorem 4** *If  $f, g \geq 0$ ,  $\int f(x)dx = \int g(x)dx = 1$  and both  $f + \Lambda$  and  $g + \Lambda$  are packings of  $\mathbb{R}^d$ , then  $f + \Lambda$  is a tiling if and only if  $g + \Lambda$  is a tiling.*

**Proof of Theorem 1.** (a) Suppose  $E + \Lambda$  is a tiling. From Lemma 1 it follows that any two elements of  $\Lambda$  differ by at least 1. This implies that  $\chi_{[0,1]} + \Lambda$  is a packing, hence it is also a tiling by Theorem 4. Since  $0 \in \Lambda$ , we have  $\Lambda = \mathbb{Z}$ .

(b) Suppose that  $\Lambda$  is a spectrum of  $E$ . Write

$$\delta_\Lambda = \sum_{\lambda \in \Lambda} \delta_\lambda$$

for the measure of one unit mass at each point of  $\Lambda$ . Our assumption that  $\Lambda$  is a spectrum for  $E$  implies that

$$|\widehat{\chi_E}|^2 + \Lambda = \mathbb{R}$$

is a tiling (see, for example, [10]). This, in turn, implies that  $\text{dens } \Lambda = 1$ .

We now use the following result from [10]:

**Theorem 5** *Suppose that  $f \geq 0$  is not identically 0, that  $f \in L^1(\mathbb{R}^d)$ ,  $\widehat{f} \geq 0$  has compact support and  $\Lambda \subset \mathbb{R}^d$ . If  $f + \Lambda$  is a tiling then*

$$\text{supp } \widehat{\delta_\Lambda} \subseteq \{\widehat{f} = 0\} \cup \{0\}. \quad (3)$$

Let us emphasize here that the object  $\widehat{\delta_\Lambda}$ , the Fourier Transform of the tempered measure  $\delta_\Lambda$ , is in general a tempered distribution and need not be a measure.

For  $f = |\widehat{\chi_E}|^2$  Theorem 5 implies

$$\text{supp } \widehat{\delta_\Lambda} \subseteq \{0\} \cup \{\chi_E * \widetilde{\chi_E} = 0\}, \quad (4)$$

since  $\chi_E * \widetilde{\chi_E}$  is the Fourier transform of  $|\widehat{\chi_E}|^2$  (where  $\widetilde{g}(x) = \overline{g(-x)}$ ). But

$$\{\chi_E * \widetilde{\chi_E} = 0\} = \{x : |E \cap (E + x)| = 0\}.$$

This and Lemma 1 imply that

$$\text{supp } \widehat{\delta_\Lambda} \cap (-1, 1) = \{0\}.$$

Let

$$K_\delta(x) = \max\{0, 1 - (1 + \delta)|x|\} = (1 + \delta)\chi_{I_\delta} * \widetilde{\chi_{I_\delta}}(x),$$

where  $I_\delta = [0, \frac{1}{1+\delta}]$ , be a Fejér kernel (we will later take  $\delta \rightarrow 0$ ). Then  $\widehat{K}_\delta = (1 + \delta)|\widehat{\chi_{I_\delta}}|^2$  is a non-negative continuous function and, after calculating  $\widehat{\chi_{I_\delta}}$ , it follows that

$$\widehat{K}_\delta(0) = \frac{1}{1 + \delta}$$

and

$$\{x : \widehat{K}_\delta(x) = 0\} = (1 + \delta)(\mathbb{Z} \setminus \{0\}). \quad (5)$$

Next, we use the following result from [11]:

**Theorem 6** *Suppose that  $\Lambda \in \mathbb{R}^d$  is a multiset with density  $\rho$ ,  $\delta_\Lambda = \sum_{\lambda \in \Lambda} \delta_\lambda$ , and that  $\widehat{\delta_\Lambda}$  is a measure in a neighborhood of 0. Then  $\widehat{\delta_\Lambda}(\{0\}) = \rho$ .*

**Remark.** The proof of Theorem 6 shows that the assumption of  $\widehat{\delta_\Lambda}$  being a measure in a neighborhood of zero is superfluous, if one knows a priori that  $\widehat{\delta_\Lambda}$  is supported only at zero, in a neighborhood of zero. Indeed, what is shown in that proof is that, as  $t \rightarrow \infty$ , the quantity  $\widehat{\delta_\Lambda}(\phi(tx))$  remains bounded, for any  $C_c^\infty$  test function  $\phi$ . If  $\widehat{\delta_\Lambda}$  were not a measure near 0 but had support only at 0, locally, this quantity would grow like a polynomial in  $t$  of degree equal to the degree of the distribution at 0.

Applying Theorem 6 and the remark following it we obtain that  $\widehat{\delta}_\Lambda$  is equal to  $\delta_0$  in a neighborhood of 0, since  $\Lambda$  has density 1.

Next, we claim that

$$\sum_{\lambda \in \Lambda} \widehat{K}_\delta(x - \lambda) = 1, \quad \text{for a.e. } x.$$

Indeed, take  $\psi_\epsilon$  to be a smooth, positive-definite approximate identity, supported in  $(-\epsilon, \epsilon)$ , and take  $\epsilon = \epsilon(\delta)$  to be small enough so that  $\text{supp } \psi_\epsilon * K_\delta \subset (-1, 1)$ . We have then

$$\begin{aligned} \sum_{\lambda \in \Lambda} \widehat{K}_\delta(x - \lambda) &= \lim_{\epsilon \rightarrow 0} \sum_{\lambda \in \Lambda} \widehat{\psi}_\epsilon(x - \lambda) \widehat{K}_\delta(x - \lambda) \\ &= \lim_{\epsilon \rightarrow 0} \delta_\Lambda \left( (\widehat{\psi}_\epsilon \widehat{K}_\delta)(x) \right) \\ &= \lim_{\epsilon \rightarrow 0} \widehat{\delta}_\Lambda ((\psi_\epsilon * K_\delta)(x)) \\ &= \lim_{\epsilon \rightarrow 0} \delta_0 ((\psi_\epsilon * K_\delta)(x)) \quad (\text{for } \epsilon \text{ small enough}) \\ &= \lim_{\epsilon \rightarrow 0} \psi_\epsilon * K_\delta(0) \\ &= K_\delta(0) \\ &= 1, \end{aligned}$$

which establishes the claim. Applying this for  $x \rightarrow 0$  and isolating the term  $\lambda = 0$  we get

$$1 = \frac{1}{1 + \delta} + \sum_{0 \neq \lambda \in \Lambda} \widehat{K}_\delta(-\lambda).$$

Letting  $\delta \rightarrow 0$  we obtain that  $\widehat{K}_\delta(-\lambda) \rightarrow 0$  for each  $\lambda \in \Lambda \setminus \{0\}$ , which implies that each such  $\lambda$  is an integer, as  $\mathbb{Z} \setminus \{0\}$  is the limiting set of the zeros of  $\widehat{K}_\delta$ .

To get that  $\Lambda = \mathbb{Z}$  notice that  $\chi_{[0,1]} + \Lambda$  is a packing. By Theorem 4 again we get that  $\chi_{[0,1]} + \Lambda$  is in fact a tiling, hence  $\Lambda = \mathbb{Z}$ .

□

### 3 Planar regions

**Proof of Theorem 2.** We denote the coordinates in  $\mathbb{R}^2$  by  $(x_1, x_2)$ . For  $0 \leq a \leq b \leq 1$  we will denote

$$\begin{aligned} E_1(a, b) &= (E \cap \{a \leq x_1 \leq b, x_2 \leq 0\}) \cup \{a \leq x_1 \leq b, x_2 \geq 0\}, \\ E_2(a, b) &= (E \cap \{a \leq x_1 \leq b, x_2 \geq 0\}) \cup \{a \leq x_1 \leq b, x_2 \leq 0\}, \\ F_1(a, b) &= (E \cap \{a \leq x_2 \leq b, x_1 \leq 0\}) \cup \{a \leq x_2 \leq b, x_1 \geq 0\}, \\ F_2(a, b) &= (E \cap \{a \leq x_2 \leq b, x_1 \geq 0\}) \cup \{a \leq x_2 \leq b, x_1 \leq 0\}. \end{aligned}$$

We will also use  $S_{a,b}$  to denote the vertical strip  $[a, b] \times \mathbb{R}$ . Let  $v = (v_1, v_2) \in \mathbb{R}^2$ . We will say that  $E_2(a, b)$  complements  $E_1(a', b') + v$  if  $E_1(a', b') + v$  is positioned above  $E_2(a, b)$  so that (up to sets of measure 0) the two sets are disjoint and their union is  $S_{a,b}$ . In particular, we must have  $a' + v_1 = a$  and  $b' + v_1 = b$ . We will write  $\widetilde{E}_1(a, b) = S_{a,b} \setminus E_1(a, b)$ , and similarly for  $E_2$ . Finally, we write  $A \sim B$  if the sets  $A$  and  $B$  are equal up to sets of measure 0.

**Lemma 2** *Let  $0 < s'' < s' < s < 2s''$ . Suppose that  $E_1(a, a + s) + v$ ,  $E_1(a, a + s') + v'$ ,  $E_1(a, a + s'') + v''$  complement  $E_2(b - s, b)$ ,  $E_2(b - s', b)$ ,  $E_2(b - s'', b)$  respectively. Then the points  $v, v', v''$  are collinear. Moreover, the absolute value of the slope of the line through  $v, v''$  is bounded by  $\epsilon(2s'' - s)^{-1}$ .*

Applying the lemma to the symmetric reflection of  $E$  about the line  $x_2 = 1/2$ , we find that the conclusions of the lemma also hold if we assume that  $E_2(a, a + s) + v$ ,  $E_2(a, a + s') + v'$ ,  $E_2(a, a + s'') + v''$  complement  $E_1(b - s, b)$ ,  $E_1(b - s', b)$ ,  $E_1(b - s'', b)$  respectively. Furthermore, we may interchange the  $x_1$  and  $x_2$  coordinates and obtain the analogue of the lemma with  $E_1, E_2$  replaced by  $F_1, F_2$ .

**Proof of Lemma 2.** Let  $v = (v_1, v_2)$ ,  $v' = (v'_1, v'_2)$ ,  $v'' = (v''_1, v''_2)$ . We first observe that if  $v_1 = v''_1$ , it follows from the assumptions that  $v = v''$  and there is nothing to prove. We may therefore assume that  $v_1 \neq v''_1$ . We do, however, allow  $v' = v$  or  $v' = v''$ .

It follows from the assumptions that  $E_2(b - s'', b)$  complements each of  $E_1(a, a + s'') + v''$ ,  $E_1(a + s' - s'', a + s') + v'$ ,  $E_1(a + s - s'', a + s) + v$ . Hence

$$\begin{aligned} E_1(a + s' - s'', a + s') &\sim E_1(a, a + s'') + (v'' - v'), \\ E_1(a + s - s'', a + s) &\sim E_1(a, a + s'') + (v'' - v). \end{aligned}$$

Let  $n$  be the unit vector perpendicular to  $v - v''$  and such that  $n_2 > 0$ . For  $t \in \mathbb{R}$ , let  $P_t = \{x : x \cdot n \leq t\}$ . We define for  $0 \leq c \leq c' \leq 1$ :

$$\begin{aligned} \alpha_{c,c'} &= \inf\{t \in \mathbb{R} : |E_1(c, c') \cap P_t| > 0\}, \\ \beta_{c,c'} &= \sup\{t \in \mathbb{R} : |\tilde{E}_1(c, c') \setminus P_t| > 0\}. \end{aligned}$$

We will say that  $x$  is a *low point* of  $E_1(c, c')$  if  $x \in S_{c,c'}$ ,  $x \cdot n = \alpha_{c,c'}$ , and for any open disc  $D$  centered at  $x$  we have

$$|D \cap E_1(c, c')| > 0. \quad (6)$$

Similarly, we call  $y$  a *high point* of  $\tilde{E}_1(c, c')$  if  $y \in S_{c,c'}$ ,  $y \cdot n = \beta_{c,c'}$ , and for any open disc  $D$  centered at  $y$  we have

$$|D \cap \tilde{E}_1(c, c')| > 0. \quad (7)$$

It is easy to see that such points  $x, y$  actually exist. Indeed, by the definition of  $\alpha_{c,c'}$  and an obvious covering argument, for any  $\alpha > \alpha_{c,c'}$  there are points  $x'$  such that  $x' \cdot n \leq \alpha$  and that (6) holds for any disc  $D$  centered at  $x'$ . Thus the set of such points  $x'$  has at least one accumulation point  $x$  on the line  $x \cdot n = \alpha_{c,c'}$ . It follows that any such  $x$  is a low point of  $E_1(c, c')$ . The same argument works for  $y$ .

The low and high points need not be unique; however, all low points  $x$  of  $E_1(c, c')$  lie on the same line  $x \cdot n = \alpha_{c,c'}$  parallel to the vector  $v - v''$ , and similarly for high points. Furthermore, the low and high points of  $E_1(c, c')$  do not change if  $E_1(c, c')$  is modified by a set of measure 0.

Let now  $A = E_1(a, a + s'')$ , and let  $x$  be a low point of  $A$ . Since  $s < 2s''$ , we have

$$B := E_1(a, a + s) = E_1(a, a + s'') \cup E_1(a + s - s'', a + s) \sim A \cup (A + v'' - v),$$

hence  $x$  is also a low point of  $B$  with respect to  $v - v''$ . Now note that

$$E_1(a + s' - s'', a + s') \sim A + (v'' - v')$$

intersects any open neighbourhood of  $x + (v'' - v')$  in positive measure. But on the other hand,  $E_1(a + s' - s'', a + s') \subset B$ . By the extremality of  $x$  in  $B$ ,  $x + (v'' - v')$  lies on or above the line segment joining  $x$  and  $x + (v'' - v)$ , hence  $v'' - v'$  lies on or above the line segment joining 0 and  $v'' - v$ .

Repeating the argument in the last paragraph with  $x$  replaced by a high point  $y$  of  $\tilde{E}_1(a, a + s'')$ , we obtain that  $v'' - v'$  lies on or below the line segment joining 0 and  $v'' - v$ . Hence  $v, v', v''$  are collinear.

Finally, we estimate the slope of the line through  $v, v''$ . We have to prove that

$$\frac{2s'' - s}{s - s''} |v_2'' - v_2| \leq \epsilon \quad (8)$$

(recall that  $v_1'' - v_1 = s - s''$ ). Define  $x$  as above, and let  $k \in \mathbb{Z}$ . Iterating translations by  $v - v''$  (in both directions), we find that  $x + k(v - v'')$  is a low point of  $B$  as long as it belongs to  $B$ , i.e. as long as

$$a \leq x_1 + k(s - s'') \leq a + s.$$

The number of such  $k$ 's is at least  $\frac{s}{s - s''} - 1$ . On the other hand, all low points of  $B$  lie in the rectangle  $a \leq x_1 \leq a + s, -\epsilon \leq x_2 \leq 0$ . Hence

$$\left(\frac{s}{s - s''} - 2\right) |v_2'' - v_2| \leq \epsilon,$$

which is (8).

□

We return to the proof of Theorem 2. Since  $E$  is almost a square, we know roughly how the translates of  $E$  can fit together. Locally, any tiling by  $E$  is essentially a tiling by a “solid”  $1 \times 1$  square with “margins” of width between 0 and  $2\epsilon$  (see Fig. 2).

We first locate a “corner”. Namely, we may assume that the tiling contains  $E$  and its translates  $E + u, E + v$ , where

$$1 \leq u_1 \leq 1 + 2\epsilon, \quad -2\epsilon \leq u_2 \leq 2\epsilon, \quad (9)$$

$$0 \leq v_1 \leq \frac{1}{2} + \epsilon, \quad 1 \leq v_2 \leq 1 + 2\epsilon. \quad (10)$$

This can always be achieved by translating the tiled plane and taking symmetric reflections of it if necessary.

Let  $E + w$  be the translate of  $E$  which fits into this corner:

$$v_1 + 1 \leq w_1 \leq v_1 + 1 + 2\epsilon, \quad u_2 + 1 \leq w_2 \leq u_2 + 1 + 2\epsilon. \quad (11)$$

We will prove that  $w = u + v$  (without the  $\epsilon$ -errors).

From (11), (9), (10) we have

$$1 \leq w_1 \leq \frac{3}{2} + 3\epsilon, \quad -4\epsilon \leq w_2 - v_2 \leq 4\epsilon.$$

Hence  $w$  satisfies both of the following.

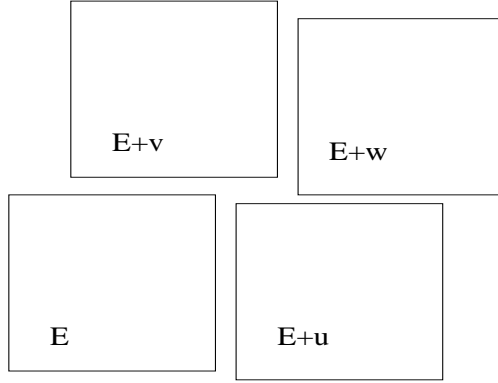


Figure 2: A “corner” and a fourth near-square.

(A)  $E_2(0, 1 - (w_1 - u_1))$  complements  $E_1(w_1 - u_1, 1) + (w - u)$ , and

$$1 - (w_1 - u_1) = 1 - w_1 + u_1 \geq 1 + 1 - \left(\frac{3}{2} + 3\epsilon\right) = \frac{1}{2} - 3\epsilon,$$

$$|(w_1 - u_1) - v_1| = |(w_1 - v_1) - u_1| \leq 2\epsilon.$$

(B)  $-4\epsilon \leq w_2 - v_2 \leq 4\epsilon$ , and  $F_2(r, t)$  complements  $F_1(r', t') + (w - v)$ , where

$$r = \max(0, w_2 - v_2), \quad r' = \max(0, v_2 - w_2),$$

$$t = 1 - \max(0, v_2 - w_2), \quad t' = 1 - \max(0, w_2 - v_2).$$

If  $w = u + v$ , we have  $w - u = v$ ,  $w - v = u$ , hence by considering the “corner”  $E, E + u, E + v$  we see that both (A) and (B) hold. Assuming that  $\epsilon$  is small enough, we shall prove that:

1. All points  $w$  satisfying (A) lie on a fixed straight line  $l_1$  making an angle less than  $\pi/4$  with the  $x_1$  axis.
2. All points  $w$  satisfying (B) lie on a fixed straight line  $l_2$  making an angle at most  $\pi/4$  with the  $x_2$  axis.

It follows that there can be at most one  $w$  which satisfies both (A) and (B), since  $l_1$  and  $l_2$  intersect only at one point. Consequently, if  $E + w$  is the translate of  $E$  chosen as above, we must have  $w = u + v$ . Now it is easy to see that  $E + \Lambda$  is a tiling, where  $\Lambda$  is the lattice  $\{ku + mv : k, m \in \mathbb{Z}\}$ .

We first prove 1. Suppose that  $w, w', w'', \dots$  (not necessarily all distinct) satisfy (A). By the assumptions in (A), we may apply Lemma 2 with  $E_1$  and  $E_2$  interchanged and with  $a = 0, b = 1, s = 1 - (w_1 - u_1), s' = 1 - (w'_1 - u_1), \dots \geq \frac{1}{2} - 3\epsilon$ . From the second inequality in (A) and the triangle inequality we also have  $|s - s''| \leq 4\epsilon$ . We find that all  $w$  satisfying (A) lie on a line  $l_1$  with slope bounded by

$$\frac{\epsilon}{|2s'' - s|} \leq \frac{\epsilon}{s'' - |s'' - s|} \leq \frac{\epsilon}{1/2 - 7\epsilon},$$

which is less than 1 if  $\epsilon < 1/16$ .



To prove 2., we let  $w, w', w''$  be three (not necessarily distinct) points satisfying (B) and such that  $w_2 \leq w'_2 \leq w''_2$ . We then apply the obvious analogue of Lemma 2 with  $E_1, E_2$  replaced by  $F_1, F_2$  and with  $a = \max(v_2 - w_2, 0) \leq 4\epsilon$ ,  $b = 1 - \max(v_2 - w_2) \geq 1 - 4\epsilon$ . From the estimates in (B) we have  $1 - 16\epsilon \leq s, s', s'' \leq 1$ , hence  $|2s'' - s| \geq 2 - 32\epsilon - 1 = 1 - 32\epsilon$ . We conclude that all  $w$  satisfying (B) lie on a line  $l_2$  such that the inverse of the absolute value of its slope is bounded by  $\frac{\epsilon}{1-32\epsilon}$ . This is at most 1 if  $\epsilon \leq 1/33$ .  $\square$

**Proof of Corollary 1.** Let  $Q = [0, 1] \times [0, 1]$ . By rescaling, it suffices to prove that for any  $\epsilon > 0$  there is a  $\delta > 0$  such that if  $E \subset Q$ ,  $E$  tiles  $\mathbb{R}^2$  by translations, and  $|E| \geq 1 - \delta$ , then  $E$  contains the square

$$Q_\epsilon = [\epsilon, 1 - \epsilon] \times [\epsilon, 1 - \epsilon]$$

(up to sets of measure 0). The result then follows from Theorem 2.

Let  $E$  be as above, and suppose that  $Q_\epsilon \setminus E$  has positive measure. Since  $E$  tiles  $\mathbb{R}^2$ , there is a  $v \in \mathbb{R}^2$  such that  $|E \cap (E + v)| = 0$  and  $|Q_\epsilon \cap (E + v)| > 0$ . We then have

$$|E \cup (E + v)| = |E| + |E + v| \geq 2 - 2\delta,$$

but also

$$|E \cup (E + v)| \leq |Q \cup (Q + v)| \leq 2 - \epsilon^2,$$

since  $E \subset Q$ ,  $E + v \subset Q + v$ , and  $Q_\epsilon \cap (Q + v) \neq \emptyset$  so that  $|Q \cap (Q + v)| \geq \epsilon^2$ . This is a contradiction if  $\delta$  is small enough.  $\square$

## 4 A counterexample in higher dimensions

In this section we prove Theorem 3. It suffices to construct  $E$  for  $n = 3$ , since then  $E \times [0, 1]^{n-3}$  is a subset of  $\mathbb{R}^n$  with the required properties.

Let  $(x_1, x_2, x_3)$  denote the Cartesian coordinates in  $\mathbb{R}^3$ . It will be convenient to rescale  $E$  so that  $[\epsilon, 1]^3 \subset E \subset [0, 1 + \epsilon]^3$ .

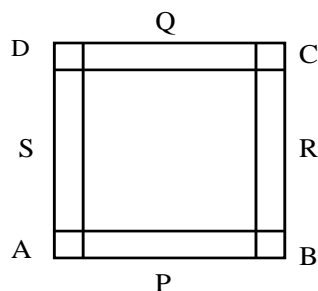


Figure 3: The construction of  $E$ .

We construct  $E$  as follows. We let  $E$  be bounded from below and above by the planes  $x_3 = 0$  and  $x_3 = 1$  respectively. The planes  $x_1 = \epsilon, x_1 = 1, x_2 = \epsilon, x_2 = 1$  divide the cube  $[0, 1 + \epsilon]^3$  into

9 parts (Figure 3). The middle part is entirely contained in  $E$ . We label by  $A, B, C, D, P, Q, R, S$  the remaining 8 segments as shown in Figure 3. We then let

$$\begin{aligned} E \cap P &= P \cap \left\{ 0 \leq x_3 \leq \frac{1}{8} \text{ or } \frac{1}{2} \leq x_3 \leq \frac{5}{8} \right\}, \\ E \cap R &= R \cap \left\{ 0 \leq x_3 \leq \frac{1}{8} \text{ or } \frac{1}{2} \leq x_3 \leq \frac{5}{8} \right\}, \\ E \cap Q &= Q \cap \left\{ 0 \leq x_3 \leq \frac{1}{4} \text{ or } \frac{3}{8} \leq x_3 \leq \frac{3}{4} \text{ or } \frac{7}{8} \leq x_3 \leq 1 \right\}, \\ E \cap S &= S \cap \left\{ 0 \leq x_3 \leq \frac{1}{4} \text{ or } \frac{3}{8} \leq x_3 \leq \frac{3}{4} \text{ or } \frac{7}{8} \leq x_3 \leq 1 \right\}, \end{aligned}$$

and

$$\begin{aligned} E \cap A &= A \cap \left\{ 0 \leq x_3 \leq \frac{1}{16} \right\}, \quad E \cap C = A \cap \left\{ \frac{1}{2} \leq x_3 \leq \frac{9}{16} \right\}, \\ E \cap B &= B \cap \left\{ \frac{5}{16} \leq x_3 \leq \frac{3}{4} \right\}, \quad E \cap D = D \cap \left\{ 0 \leq x_3 \leq \frac{1}{4} \text{ or } \frac{13}{16} \leq x_3 \leq 1 \right\}. \end{aligned}$$

We also denote  $K = \bigcup_{j \in \mathbb{Z}} (E + (0, 0, j))$ .

Let  $E + T$  be a tiling of  $\mathbb{R}^3$ , and assume that  $0 \in T$ . Suppose that  $E + v$  and  $E + w$  are neighbours in this tiling so that the vertical sides of  $(E \cap P) + v$  and  $(E \cap Q) + w$  meet in a set of non-zero two-dimensional measure. Then we must have  $v - w = (0, 1, (v - w)_3)$ , where  $(v - w)_3 \in \{\pm\frac{1}{4}, \pm\frac{3}{4}\}$ . A similar statement holds with  $P, Q$  replaced by  $R, S$  and with the  $x_1, x_2$  coordinates interchanged. We deduce that the tiling consists of copies of  $E$  stacked into identical vertical ‘‘columns’’  $K_{ij} = K + (i, j, t_{ij})$ , arranged in a rectangular grid in the  $x_1 x_2$  plane and shifted vertically so that  $t_{i+1, j} - t_{ij}$  and  $t_{i, j+1} - t_{ij}$  are always  $\pm\frac{1}{4}$ . We will use matrices  $(t_{ij})$  to encode such a tiling or portions thereof.

It is easy to see that  $(t_{ij})$ , where  $t_{ij} = 0$  if  $i + j$  is even and  $\frac{1}{4}$  if  $i + j$  is odd, is indeed a tiling. It remains to show that  $E$  does not admit a lattice tiling. Indeed, the four possible choices of the generating vectors in any lattice  $(t_{ij})$  with  $t_{ij} = \pm\frac{1}{4}$  produce the configurations

$$\begin{pmatrix} 0 & t \\ t & 2t \end{pmatrix}, \begin{pmatrix} 2t & t \\ t & 0 \end{pmatrix}, \begin{pmatrix} 0 & t \\ -t & 0 \end{pmatrix}, \begin{pmatrix} 0 & -t \\ t & 0 \end{pmatrix}.$$

But it is easy to see that the corners  $A, B, C, D$  do not match if so translated.

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